



# MEMORANDUM – 2024-030

**TO** : ALL TRADING PARTICIPANTS

**SUBJECT** : EMPLOYEE MOVEMENT

**DATE** : 05 DECEMBER 2024

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Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 30 November 2024.

For information and guidance.

*(original signed)*

**GERARD B. SANVICTORES**

*President*

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
2-Dec-24	BERNARDO	JOHN GILBERT S.	CUSTOMER SUPPORT OFFICER	DEALER	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
27-Nov-24	LACAP	THALIA LUISA C.	SETTLEMENTS ANALYST	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
25-Nov-24	MENTA	DARYLL M.	INSTITUTIONAL SALES OFFICER	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
25-Nov-24	MANGUN	CHRISTOPHER J.	SALESMAN	-	AAA SOUTHEAST EQUITIES, INC.	RESIGNATION (cleared)
25-Nov-24	SALVADOR	ED LEONARDO D.	-	EQUITIES INVESTMENT ADVISER - SALESMAN	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
23-Nov-24	PIOQUINTO	REZELL MAE P.	HR STAFF	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
21-Nov-24	LORENZO	SHEENA ANN K.	-	CERTIFIED MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
21-Nov-24	IMPERIAL	BENJAMIN JORGE S.	-	CERTIFIED MUTUAL FUND SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
21-Nov-24	LAZARRA	CLARISSES PERIE A.	-	ACCOUNTING CLERK	EVERGREEN STOCK BROKERAGE & SECURITIES, INC.	NEW EMPLOYMENT
19-Nov-24	LEE	NADINE G.	SALES ASSOCIATE	SALES ASSOCIATE/ SALESMAN	MAYBANK SECURITIES, INC.	RE-DESIGNATION
18-Nov-24	TIU	JOSHUA NICHOLAS C.	-	EQUITY RESEARCH ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
16-Nov-24	CEREZO	CRISTYBETH A.	AUTHORIZED OFFICER, FINANCIAL ACCOUNTANT	-	UBS SECURITIES PHILIPPINES INC.	RESIGNATION
16-Nov-24	SANCHEZ	LUIS LORENZO M.	COMPLIANCE ANALYST	-	UBS SECURITIES PHILIPPINES INC.	RESIGNATION
15-Nov-24	FLORES	STEFFI NICOLE P.	ASSISTANT CORPORATE SECRETARY	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (clearance under process)
14-Nov-24	RACINES	EDWARD A.	OPERATIONS ASSISTANT	OPERATIONS OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION

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12-Nov-24	BAYANGOS	ED NICOLE P.	CERTIFIED EQUITY SPECIALIST	CERTIFIED EQUITY AND FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
12-Nov-24	GUE	DIANA T.	CERTIFIED EQUITY AND MUTUAL FUND SPECIALIST	CERTIFIED EQUITY AND FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
12-Nov-24	LLANTO	JOSHUA RAPHAEL N.	CERTIFIED MUTUAL FUND SPECIALIST	CERTIFIED FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
12-Nov-24	REYES-LAO	MARC I.	CERTIFIED EQUITY SPECIALIST	CERTIFIED EQUITY AND UITF SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
12-Nov-24	SARMIENTO	PIETRO ANTONIO R.	CERTIFIED MUTUAL FUND SPECIALIST	CERTIFIED FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
12-Nov-24	VALONES	MARK ROLAND A.	CERTIFIED EQUITY AND MUTUAL FUND SPECIALIST	CERTIFIED EQUITY AND FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
11-Nov-24	VILLAFRANCA	JAN ADRIEL M.	FINANCIAL ANALYST	CSR EQUITIES TRADER	CTS GLOBAL EQUITY GROUP, INC.	RE-DESIGNATION
11-Nov-24	DEL PILAR	JOSHUA MIGUEL M.	-	RESEARCH ANALYST	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
11-Nov-24	HERBOLARIO	JOE LUIS L.	-	DRIVER	HDI SECURITIES, INC.	NEW EMPLOYMENT
8-Nov-24	CHUA	ELLARD ROYCE	-	INDEPENDENT DIRECTOR	ARMSTRONG SECURITIES, INC.	NEW EMPLOYMENT
8-Nov-24	ABRAHANO	AIRA MAE F.	SENIOR ACCOUNTANT	-	EASTERN SECURITIES DEVELOPMENT CORPORATION	RESIGNATION (no clearance required)
7-Nov-24	TAN	MARGARETT ANDRE V.	-	ASSISTANT TRADER	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
6-Nov-24	ALFONSO	BELMA A.	SALESMAN-AGENT	-	PNB SECURITIES, INC.	RESIGNATION
6-Nov-24	FOZ	MA. XY-ZA E.	SALESMAN-AGENT	-	PNB SECURITIES, INC.	RESIGNATION
6-Nov-24	LAZA	BRIAN RAY C.	SALESMAN-AGENT	-	PNB SECURITIES, INC.	RESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
4-Nov-24	MARCO	VIANCA ANGELOU R.	-	ASSOCIATE ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
4-Nov-24	FAELMOCA	MA PATRICIA L.	DIGITAL CHANNELS AND MARKETING OFFICER	HEAD - DIGITAL CHANNELS AND MARKETING DEPARTMENT	FIRST METRO SECURITIES BROKERAGE CORPORATION	RE-DESIGNATION
4-Nov-24	PEROLINA	MA. KARLA E.	-	OPERATIONS ASSOCIATE	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
4-Nov-24	TABUDLONG	GLYSSA C.	-	ASSOCIATE ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
4-Nov-24	ALDABA	JEAN ANGELICA I.	CUSTOMER SERVICE ASSISTANT	CUSTOMER SUPPORT ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
4-Nov-24	BUENDIA	MONICA JOHANN T.	CUSTOMER SERVICE ASSISTANT SUPERVISOR	CUSTOMER SUPPORT ASST. SUPERVISOR, SERVICE TEAM	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
4-Nov-24	CHUA	NATASHA CAMILLE D.	CUSTOMER SERVICE ASSISTANT SUPERVISOR	CUSTOMER SUPPORT ASST. SUPERVISOR, QUALITY ASSURANCE	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
4-Nov-24	DUEÑAS	DORA JANE T.	CUSTOMER SERVICE ASSISTANT SUPERVISOR	CUSTOMER SUPPORT ASST. SUPERVISOR, SECURITY TEAM	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
4-Nov-24	ETRATA	KRIZZIA MAE E.	CUSTOMER SERVICE SPECIALIST	CUSTOMER SUPPORT SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
4-Nov-24	HIYAO	PATRICIA MAE L.	CUSTOMER SERVICE STAFF	CUSTOMER SUPPORT STAFF	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
4-Nov-24	LEE	KRISTINE S.	CUSTOMER SERVICE MANAGER	CUSTOMER SUPPORT MANAGER	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
4-Nov-24	MANIBA	ZAIRA CLAIRE A.	CUSTOMER SERVICE ASSISTANT	CUSTOMER SUPPORT ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
4-Nov-24	MILANO	ALYX A.	CUSTOMER SERVICE ASSISTANT	CUSTOMER SUPPORT ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
4-Nov-24	PEREZ	COLYN P.	CUSTOMER SERVICE ASSISTANT	CUSTOMER SUPPORT ASSISTANT	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

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4-Nov-24	PLADERO	RONALYN R.	CUSTOMER SERVICE SPECIALIST	CUSTOMER SUPPORT SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
4-Nov-24	RAFAEL	RACHELL T.	CUSTOMER SERVICE SPECIALIST	CUSTOMER SUPPORT SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
4-Nov-24	RAMOS	PATRIZIA PAULA B.	CUSTOMER SERVICE SPECIALIST	CUSTOMER SUPPORT SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
4-Nov-24	YU	ISABELLE MIKAELA S.	CUSTOMER SERVICE SPECIALIST	CUSTOMER SUPPORT SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION
4-Nov-24	DELA CRUZ	JUAN PAULO R.	HEAD OF SALES	-	SALISBURY SECURITIES CORPORATION	DECEASED
4-Nov-24	RODRIGUEZ	JUAN CARLOS	-	EQUITY RESEARCH INTERN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
4-Nov-24	LASTIMOZA	RHOMA A.	-	ACCOUNTING SUPERVISOR	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT
4-Nov-24	QUEVADA	JESSICA MAY L.	-	OPERATIONS ANALYST	DRAGONFI SECURITIES INC.	NEW EMPLOYMENT
4-Nov-24	BIGLANG-AWA	DOMINGO KARLO III G.	-	HEAD OF SALES FOR FUND SOURCE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
4-Nov-24	ORDOÑEZ	MA. STEPHANIE COLEEN L.	-	HR ASSISTANT	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
4-Nov-24	LAURENA	AXCEL MYLES C.	-	SOCIAL MEDIA ASSOCIATE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
4-Nov-24	LAURENTE	ELYZA DENISE A.	-	INFORMATION TECHNOLOGY	AB CAPITAL SECURITIES, INC.	NEW EMPLOYMENT
4-Nov-24	ATIENZA	FELICIA H.	-	AGENT	PHILIPPINE EQUITY PARTNERS, INC.	NEW EMPLOYMENT
3-Nov-24	RUFFY	FRANCIS NICOLO P.	DIRECTOR / HEAD OF INTERNATIONAL SALES	-	REGIS PARTNERS, INC.	RESIGNATION (clearance under process)
3-Nov-24	PANUELOS	BERNARDO D.	VICE PRESIDENT & CFO	-	WESTLINK GLOBAL EQUITIES, INC.	RESIGNATION (cleared)

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
1-Nov-24	DAVID	FIDELLA E.	ACCOUNTANT	ASSOCIATED PERSON	RTG & CO., INC.	RE-DESIGNATION
1-Nov-24	CUBACUB	MARIANO G.	ASSOCIATED PERSON	INTERNAL AUDITOR	RTG & CO., INC.	RE-DESIGNATION
1-Nov-24	EGUIA	MARIA ELISA C.	ACCOUNTING CLERK	ACCOUNTANT	RTG & CO., INC.	RE-DESIGNATION
1-Nov-24	MIRAS	PAULA PAMELA P.	ACCOUNTING ASSOCIATE	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
1-Nov-24	PAPA	BIANCA THERESE V.	UI/UX DESIGNER/ADMIN COORDINATOR	-	PAPA SECURITIES CORPORATION	RESIGNATION (cleared)
1-Nov-24	ACCAD	MAC CLARENCE	-	ACCOUNT OPENING ASSOCIATE	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
1-Nov-24	TEODORO	JUAN ALFONSO G.	EQUITY RESEARCH ANALYST	SALESMAN/ HEAD ONLINE/ EQUITY RESEARCH ANALYST	TIMSON SECURITIES, INC.	RE-DESIGNATION
1-Nov-24	DIAZ	RICARDO L.	EXECUTIVE DIRECTOR, HEAD OF CLIENT TRADING AND EXECUTION	EXECUTIVE DIRECTOR, HEAD OF EXECUTION SERVICES	UBS SECURITIES PHILIPPINES INC.	RE-DESIGNATION
1-Nov-24	FLORES	STEFFI NICOLE P.	LEGAL OFFICER	-	COL FINANCIAL GROUP, INC.	RESIGNATION (clearance under process)
1-Nov-24	SANTOS	MIRIAM R.	SENIOR ACCOUNTANT	MANAGER - CASHIERING HEAD	AB CAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Nov-24	ANGGALA	BEN CHRISTIAN HENRY G.	-	ADMINISTRATIVE CLERK	STAR ALLIANCE SECURITIES CORP.	NEW EMPLOYMENT
1-Nov-24	ANGGALA	GIAN ANDRE BENJAMIN G.	-	ADMINISTRATIVE CLERK	STAR ALLIANCE SECURITIES CORP.	NEW EMPLOYMENT
1-Nov-24	SANTIAGO	MARK A.	MESSENGER	-	HDI SECURITIES, INC.	RESIGNATION (no clearance required)
31-Oct-24	JAGOLINA	REGOR E.	-	EQUITIES INVESTMENT ADVISER - SALESMAN	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
31-Oct-24	LOOD	MARC KEBINSON L.	SALESMAN/ HEAD ONLINE	-	TIMSON SECURITIES, INC.	RESIGNATION (cleared)

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31-Oct-24	NACARIO	BENEDICTO R.	MESSENGER	-	MERCANTILE SECURITIES CORPORATION	RESIGNATION (did not apply for
30-Oct-24	GOMEZ	CHRISTINE ANN L.	ACCOUNTING ASSISTANT	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
28-Oct-24	SANTOS	RONNEL S.	-	ACCOUNTING STAFF	EASTERN SECURITIES DEVELOPMENT CORPORATION	NEW EMPLOYMENT
25-Oct-24	WAN	CHI SHING KENT JONATHAN	REACT NATIVE DEVELOPER	-	DRAGONFI SECURITIES INC.	RESIGNATION
25-Oct-24	TAMASE	PATRICIA ISABEL S.	INSTITUTIONAL SALES	-	CLSA PHILIPPINES, INC.	UPDATE: RESIGNATION (cleared)
15-Oct-24	BOSITA	GIL ANGELO L.	DIGITAL MARKETING ASSOCIATE	DIGITAL MARKETING SR. ASSOCIATE	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
15-Oct-24	ESTACIO- CRUZ	WENDY B.	RESEARCH DEPUTY HEAD	EQUITY RESEARCH HEAD	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
15-Oct-24	BRINGINO	JIMMY S.	ACCOUNTING ASSOCIATE	ACCOUNTING SR. ASSOCIATE	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
15-Oct-24	GARALDE	REYNOLD C.	EQUITY TRADE ASSOCIATE - SALESMAN	EQUITY TRADE SENIOR ASSOCIATE - SALESMAN	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
15-Oct-24	MISA	MARIA NAOMI A.	VICE PRESIDENT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
15-Oct-24	UMALI II	RENATO M.	ASSISTANT VICE PRESIDENT	-	AB CAPITAL SECURITIES, INC.	RESIGNATION (cleared)
11-Oct-24	WARNICK	PAULINE P.	CUSTOMER SERVICE ASSOCIATE	-	ABACUS SECURITIES CORPORATION	RESIGNATION (clearance under process)
7-Oct-24	ALFONSO	JEMIMAH RYLA R.	-	EQUITY RESEARCH ANALYST	UNICAPITAL SECURITIES, INC.	NEW EMPLOYMENT
1-Oct-24	OTSUKA	YUJIN	NOMINEE	-	SEEDBOX SECURITIES, INC.	RESIGNATION (cleared)
1-Oct-24	KEIDA	SHUNICHI	-	NOMINEE-APPLICANT	SEEDBOX SECURITIES, INC.	NEW EMPLOYMENT

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1-Oct-24	ABALLE	VANESSA V.	FINANCIAL CONTROLLER	-	CLSA PHILIPPINES, INC.	UPDATE: RESIGNATION (cleared)
1-Oct-24	PEÑA	EIRA DOMINIQUE V.	INVESTOR RELATIONS OFFICER	-	CTS GLOBAL EQUITY GROUP, INC.	UPDATE: RESIGNATION (cleared)
30-Sep-24	DEL ROSARIO	ABIGAIL TINA M.	-	DIRECTOR	MAYBANK SECURITIES, INC.	NEW EMPLOYMENT
31-Jul-24	TANSENGCO	FRANCISCO O.	NOMINEE/ PRESIDENT/ CHAIRMAN OF THE BOARD/ DIRECTOR	-	TANSENGCO & COMPANY, INC.	DECEASED
8-Mar-24	REAL	MARK BRYAN T.	SALESMAN	-	BA SECURITIES, INC.	UPDATE: RESIGNATION (cleared)